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S&H Form: PTO/SB/17 (2/01)

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|---|----------------------|---------------------------|
| RESPONSE TO NOTICE TO FILE MISSING PARTS | Attorney Docket No. | 122.1461 |
| | Application Number | 09/921,943 |
| | Filing Date | August 6, 2001 |
| | First Named Inventor | Yoshiaki Matsuzaki et al. |
| | Group Art Unit | |

| | |
|--------|---|
| Title: | INFORMATION RETRIEVAL/DISTRIBUTION SYSTEM, COMPUTER READABLE STORAGE MEDIUM, AND PROGRAM FOR INFORMATION RETRIEVAL/DISTRIBUTION |
|--------|---|

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed _ for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- ☒ Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- ☒ Formal Drawings (11 Sheets; Figs. 1-11)
- ☒ English-language translation of application (with Translator's Statement (pages 1))
- ☐ Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

- ☒ The filing fee as set forth in 37 C.F.R. § 1.16(a) \$ 740.00
- ☐ The additional claim(s) fee (0 claims over 20) \$ 0.00
- ☐ The additional independent claim(s) fee (0 claims over 3) \$ 0.00
- ☐ Multiple dependent claims \$
- ☐ Petition for Extension of Time (_-month) \$0.00
- ☐ English language translation fee \$130.00
- ☒ Surcharge as set forth in 37 C.F.R. § 1.16(e) \$130.00

SUBTOTAL FEES: \$1000.00

- ☐ Reduction by 50% for filing by small entity (37 CFR 1.27) .00

SUBTOTAL FEES: \$1000.00

TOTAL FEES DUE: \$1000.00

106077 E4612660



Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of November 13, 2001, Extension is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

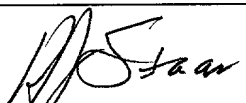
AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

| | | | |
|------------|--|----------|------------------|
| Typed Name | H. J. Staas | Reg. No. | 22,010 |
| Signature |  | Date | November 9, 2001 |



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/921,943 | 08/06/2001 | Yoshiaki Matsuzaki | 122.1461 |

CONFIRMATION NO. 8373

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

FORMALITIES LETTER



OC000000006541360

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/13/2001 NR0CHA1 00000050 09921943

FILED UNDER 37 CFR 1.53(b)

01 FC 101 740.00 OP
02 FC 103 130.00 OP
03 FC 109 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)

RECEIVED 11/13/2001

see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.

Uhand

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yoshiaki Matsuzaki and Hiroyuki Uesaka

Group:

Serial No.: 09/921,943

Examiner:

Filed: August 6, 2001

For: INFORMATION RETRIEVAL/DISTRIBUTION SYSTEM, COMPUTER READABLE STORAGE
MEDIUM, AND PROGRAM FOR INFORMATION RETRIEVAL/DISTRIBUTION



VERIFIED TRANSLATION CERTIFICATE

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The undersigned, of the below address, hereby verifies that I well know both the English and Japanese languages, and that the attached is a full, true literal and faithful translation into the English language of the 33 page Japanese language application which I am now advised carries U.S. Serial No. 09/921,943 effectively deposited in the United States Patent and Trademark Office on August 6, 2001.

The undersigned declares further that all statements made herein on personal knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed this 6th day of November, 2001.

Translator's Signature: Itaru Otake
Name: Itaru Otake
Address: c/o A. AOKI, ISHIDA & ASSOCIATES,
3-5-1, Toranomon, Minato-ku,
Tokyo 105-8423, Japan